



Pollen Street Sec. - PSSL Holding(s) in Company
Released 15:30 18-Nov-2019

RNS Number : 7921T
Pollen Street Secured Lending PLC
18 November 2019

TR-1: Standard form for notification of major holdings

| | |
|---|--|
| NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer <u>and</u> to the FCA in Microsoft Word format if possible) ⁱ | |
| 1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached ⁱⁱ : | Pollen Street Secured Lending LEI: 213800P4S1KTTPIB9T76 |
| 1b. Please indicate if the issuer is a non-UK issuer (please mark with an "X" if appropriate) | |
| Non-UK issuer | <input type="checkbox"/> |
| 2. Reason for the notification (please mark the appropriate box or boxes with an "X") | |
| An acquisition or disposal of voting rights | <input checked="" type="checkbox"/> |
| An acquisition or disposal of financial instruments | <input type="checkbox"/> |
| An event changing the breakdown of voting rights | <input type="checkbox"/> |
| Other (please specify) ⁱⁱⁱ : | <input type="checkbox"/> |
| 3. Details of person subject to the notification obligation ^{iv} | |
| Name | Invesco Ltd. |
| City and country of registered office (if applicable) | 1555 Peachtree Street NE, Suite 1800, Atlanta, GA 30309 United States |
| 4. Full name of shareholder(s) (if different from 3.) ^v | |
| Name | |
| City and country of registered office (if applicable) | |
| 5. Date on which the threshold was crossed or reached ^{vi} : | 01-11-2019 |
| 6. Date on which issuer notified (DD/MM/YYYY): | 04-11-2019 |
| 7. Total positions of person(s) subject to the notification obligation | |
| | |

| | % of voting rights attached to shares (total of 8. A) | % of voting rights through financial instruments (total of 8.B 1 + 8.B 2) | Total of both in % (8.A + 8.B) | Total number of voting rights of issuer ^{vii} |
|---|---|---|--------------------------------|--|
| Resulting situation on the date on which threshold was crossed or reached | 27.96% | 0 | 27.96% | 74,596,190 |
| Position of previous notification (if applicable) | 28.99% | 0 | 28.99% | |

8. Notified details of the resulting situation on the date on which the threshold was crossed or reached^{viii}

A: Voting rights attached to shares

| Class/type of shares ISIN code (if possible) | Number of voting rights ^{ix} | | % of voting rights | |
|---|---|--|---|--|
| | Direct (Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1) | Direct (Art 9 of Directive 2004/109/EC) (DTR5.1) | Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1) |
| GB00BLP57Y95 | | 20,862,170 | | 27.96% |
| | | | | |
| | | | | |
| SUBTOTAL 8. A | 20,862,170 | | 27.96% | |

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))

| Type of financial instrument | Expiration date ^x | Exercise/ Conversion Period ^{xi} | Number of voting rights that may be acquired if the instrument is exercised/converted. | % of voting right |
|------------------------------|------------------------------|---|--|-------------------|
| | | | | |
| | | | | |
| | | | | |
| | | SUBTOTAL 8. B 1 | | |

B 2: Financial Instruments with similar economic effect according to Art. 13(1)(b) of Directive 2004/109/EC (DTR5.3.1.1 (b))

| Type of financial instrument | Expiration date ^x | Exercise/ Conversion Period ^{xi} | Physical or cash settlement ^{xii} | Number of voting rights | % of voting right |
|------------------------------|------------------------------|---|--|-------------------------|-------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | SUBTOTAL 8.B.2 | | |

9. Information in relation to the person subject to the notification obligation (please mark the applicable box with an "X")

| | |
|--|--|
| | |
|--|--|

| Person subject to the notification obligation is not controlled by any natural person or legal entity and does not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer ^{xiii} | | | |
|--|--|--|---|
| Full chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held starting with the ultimate controlling natural person or legal entity ^{xiv} (please add additional rows as necessary) | | | X |
| Name ^{xv} | % of voting rights if it equals or is higher than the notifiable threshold | % of voting rights through financial instruments if it equals or is higher than the notifiable threshold | Total of both if it equals or is higher than the notifiable threshold |
| Invesco Asset Management Limited. | 27.97% | 0 | 27.97% |
| | | | |

| | |
|--|-------------------|
| 10. In case of proxy voting, please identify: | |
| Name of the proxy holder | Invesco (UK) Ltd. |
| The number and % of voting rights held | N/A |
| The date until which the voting rights will be held | N/A |

| |
|---|
| 11. Additional information^{xvi} |
| |

| | |
|----------------------------|------------------|
| Place of completion | Henley-on-Thames |
| Date of completion | 04-11-2019 |

This information is provided by RNS, the news service of the London Stock Exchange. RNS is approved by the Financial Conduct Authority to act as a Primary Information Provider in the United Kingdom. Terms and conditions relating to the use and distribution of this information may apply. For further information, please contact rns@lseg.com or visit www.rns.com.

END

HOLEASFPFALNFFF

CLOSE

London Stock Exchange plc is not responsible for and does not check content on this Website. Website users are responsible for checking content. Any news item (including any prospectus) which is addressed solely to the persons and countries specified therein should not be relied upon other than by such persons and/or outside the specified countries. **Terms and conditions**, including restrictions on use and distribution apply.

©2014 London Stock Exchange plc. All rights reserved